IAP13 Rec'd PCT/PTO 06 FEB 2007

PTO-1390 (Rev. 02-2005) Approved for use through 3/31/2007. OMB 0651-0021

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

## Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. ATTORNEY'S DOCKET NUMBER TRANSMITTAL LETTER TO THE UNITED STATES TS1436 US DESIGNATED/ELECTED OFFICE (DO/EO/US) U.S. APPLICATION NO. (If known, see 37 CFR 1.5) **CONCERNING A SUBMISSION UNDER 35 U.S.C. 371** 10/577,200 INTERNATIONAL APPLICATION NO. INTERNATIONAL FILING DATE PRIORITY DATE CLAIMED PCT/EP2004/052690 28 October 2004 (28.10.04) 30 October 2003 (30.10.2003) TITLE OF INVENTION PROCESS AND SYSTEM FOR REMOVING CONTAMINANTS FROM A NATURAL GAS STREAM APPLICANT(S) FOR DO/EO/US NEUMANN, Klaus, Dieter, Karl and RAJANI, Jayantilal, Bhagvanji Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: This is a FIRST submission of items concerning a submission under 35 U.S.C. 371. This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371. 2. This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below. The US has been elected (Article 31). A copy of the International Application as filed (35 U.S.C. 371(c)(2)) is attached hereto (required only if not communicated by the International Bureau). has been communicated by the International Bureau. is not required, as the application was filed in the United States Receiving Office (RO/US). An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)). is attached hereto. has been previously submitted under 35 U.S.C. 154(d)(4). Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) are attached hereto (required only if not communicated by the International Bureau). have been communicated by the International Bureau. have not been made; however, the time limit for making such amendments has NOT expired. have not been made and will not be made. An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). 1 An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)). Items 11 to 20 below concern document(s) or information included: **V** An Information Disclosure Statement under 37 CFR 1.97 and 1.98. 12. 🗸 An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. A preliminary amendment.

This collection of information is required by 37 CFR 1.414 and 1.491-1.492. The information is required to obtain or retain a benefit by the public, which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minutes to complete, including gathering information, preparing, and submitting the completed form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821- 1.825.

A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).

postcard and copy of notification of missing requirements

A second copy of the published International Application under 35 U.S.C. 154(d)(4).

An Application Data Sheet under 37 CFR 1.76.

A power of attorney and/or change of address letter.

A substitute specification.

Other items or information:

1

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| U.S. APPLICAT                         | ION NO. (if knowr                                  | n, see 37 CFR 1.5)                                   | INTERNATIONAL AF  | PLICATION NO.             | ATTORNEY'S DOC            | CKET NUMBER     |
|---------------------------------------|--|--|---|---------------------------|---------------------------|-----------------|
|                                       | 10/577,200 PCT/EP2004/052690                       |  | TS1436 US   |                           |                           |                 |
| The fol                               | lowing fees have b                                 | een submitted  |   |                           | CALCULATIONS              | PTO USE ONLY    |
| 21. 🔲 Bas                             | ic national fee                                    |  |   | \$300                     | \$                        |                 |
| If International p<br>PCT Article 3   | 3(1)-(4)   |  | by USPTO and all claims   | \$100                     | \$                        |                 |
| Search fee (37 C<br>International Sea | nal Searching Aut<br>arch Report prepar            | horityed and provided to t                           | international application to  | \$100<br>\$400            | \$                        |                 |
| TOTAL OF 21, 22 and 23 =              |  |  |   |                           | \$                        |                 |
| sequence li                           | e for specification sting or computer              | and drawings filed in program listing filed          | n paper over 100 sheets (ex<br>in an electronic medium).<br>aper or fraction thereof. | xcluding                  |                           |                 |
| Total Sheets                          | Extra Sheets                                       |  | dditional 50 or fraction<br>to a whole number)  | RATE                      |                           |                 |
| - 100 =                               | /50 =  |  |   | × \$250                   | \$                        |                 |
| _                                     | <b>30.00</b> for furnishing<br>late (37 CFR 1.49)  | _  | tion later than 30 months fr  | om the earliest           | \$<br>130.00              |                 |
| CLAIMS                                | NUMB   | ER FILED   | NUMBER EXTRA  | RATE                      | \$                        |                 |
| Total claims                          |  | - 20 =   |   | × \$ 50                   | \$                        |                 |
| Independent clai                      | ms   | - 3 =  |   | × \$200                   | \$                        |                 |
| MULTIPLE DEP                          | ENDENT CLAIM(S                                     | 6) (if applicable)                                   |   | + \$360                   | \$                        |                 |
|                                       |  |  | TOTAL OF ABOVE  | CALCULATIONS =            | \$                        |                 |
| Applicant cla                         | aims small entity s                                | atus. See 37 CFR 1                                   | .27. Fees above are reduc   | ed by ½.                  |                           |                 |
|                                       |  |  |   | SUBTOTAL =                | \$                        |                 |
| _                                     | of <b>\$130.00</b> for furni<br>late (37 CFR 1.49) |  | nslation later than 30 mont   | hs from the earliest<br>+ | \$                        |                 |
|                                       |  |  | TOTAL   | NATIONAL FEE =            | \$                        |                 |
| -                                     | •  | ignment (37 CFR 1.2<br>CFR 3.28, 3.31). <b>\$4</b> 0 | 21(h)). The assignment mu<br>0.00 per property  | st be accompanied +       | \$ 40.00                  | 0               |
| /2007 LLANDGRA                        | 00000022 19180                                     | 0 10577200   | TOTAL F   | EES ENCLOSED =            | \$                        |                 |
|                                       | 30.00 DA   |  |   |                           | Amount to be refunded:    | \$              |
|                                       |  |  |   |                           | Amount to be charged:     | \$ 130.00       |
| a. A chec                             | k in the amount of                                 | \$   | to cover the abo  | ve fees is enclosed.      |                           |                 |
|                                       | charge my Depos<br>cate copy of this s             |  | in the amount of S  | 130.00 to co              | ver the above fees.       |                 |
|                                       |  |  | arge any additional fees whof this sheet is enclosed.                                 | nich may be required,     | or credit any overpaymer  | nt to Deposit   |
|                                       |  |  | NING: Information on this f   | -                         | lic. Credit card informat | tion should not |
|                                       | • • •  | e limit under 37 CF<br>ational Application           | R 1.495 has not been met to pending status.   | t, a petition to revive   | (37 CFR 1.137(a) or (b))  | ) must be filed |
| SEND ALL COR                          | RESPONDENCE  | то:  | 7   | Quele 7                   | towart                    |                 |
| CUSTOMER NO                           | 23632  | 2  |   | SIGNATURE Charles W. Ste  | wart                      |                 |
| EXPRESS MAIL<br>MAILING DATE:         |  |  |   | NAME  34,023  REGISTRATIO |                           |                 |
| 1                                     |  |  |   |                           | <del>-</del>              |                 |



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UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450

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U.S. APPLICATION NUMBER NO.

FIRST NAMED APPLICANT

TS1436US

10/577,200

Klaus Dieter Karl Neumann

INTERNATIONAL APPLICATION NO.

RECEIVED

DEC 2 7 2006

PCT/EP04/52690

10/28/2004

I.A. FILING DATE

PRIORITY DATE 10/30/2003

23632 SHELL OIL COMPANY P O BOX 2463 HOUSTON, TX 772522463

SHELL OIL OO.

INTELLECTUAL PROPERTY

CONFIRMATION NO. 1416
371 FORMALITIES LETTER

\*OC000000021724495\*

Date Mailed: 12/22/2006

## NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

- Copy of the International Application filed on 04/27/2006
- Copy of the International Search Report filed on 04/27/2006
- Copy of IPE Report filed on 04/27/2006
- Copy of Annexes to the IPER filed on 04/27/2006
- Preliminary Amendments filed on 04/27/2006
- Information Disclosure Statements filed on 04/27/2006
- U.S. Basic National Fees filed on 04/27/2006
- Priority Documents filed on 04/27/2006
- Specification filed on 04/27/2006
- Claims filed on 04/27/2006
- Drawings filed on 04/27/2006

The applicant needs to satisfy supplemental fees problems indicated below.

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.
- To avoid abandonment, a surcharge (for late submission of filing fee, search fee, examination fee or oath or declaration) as set forth in 37 CFR 1.492(h) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

**SUMMARY OF FEES DUE:** 

Total additional fees required for this application is \$130 for a Large Entity:

• \$130 Surcharge.

ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

Registered users of EFS-Web may alternatively submit their reply to this notice via EFS-Web. <a href="https://sportal.uspto.gov/authenticate/AuthenticateUserLocalEPF.html">https://sportal.uspto.gov/authenticate/AuthenticateUserLocalEPF.html</a>

For more information about EFS-Web please call the USPTO Electronic Business Center at 1-866-217-9197 or visit our website at <a href="http://www.uspto.gov/ebc.">http://www.uspto.gov/ebc.</a>

If you are not using EFS-Web to submit your reply, you must include a copy of this notice.

KAYA L LEWIS BALTIMORE

Telephone: (703) 308-9140 EXT 202

## PART 1 - ATTORNEY/APPLICANT COPY

| U.S. APPLICATION NUMBER NO. | INTERNATIONAL APPLICATION NO. | ATTY. DOCKET NO. |  |
|-----------------------------|-------------------------------|------------------|--|
| 10/577,200                  | PCT/EP04/52690                | TS1436US         |  |

FORM PCT/DO/EO/905 (371 Formalities Notice)